

LIE ALGEBRAS WITH PRESCRIBED \mathfrak{sl}_3 DECOMPOSITION

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ABSTRACT. In this work, we consider Lie algebras \mathcal{L} containing a subalgebra isomorphic to \mathfrak{sl}_3 and such that \mathcal{L} decomposes as a module for that \mathfrak{sl}_3 subalgebra into copies of the adjoint module, the natural 3-dimensional module and its dual, and the trivial one-dimensional module. We determine the multiplication in \mathcal{L} and establish connections with structurable algebras by exploiting symmetry relative to the symmetric group S_4 .

1. INTRODUCTION

The Lie algebra \mathfrak{gl}_{n+k} of $(n+k) \times (n+k)$ matrices over a field \mathbb{F} of characteristic 0 under the commutator product $[x, y] = xy - yx$, when viewed as a module for the copy of \mathfrak{gl}_n in its northwest corner, decomposes into k copies of the natural n -dimensional \mathfrak{gl}_n -module $V = \mathbb{F}^n$, k copies of the dual module $V^* = \text{Hom}(V, \mathbb{F})$, a copy of the Lie algebra \mathfrak{gl}_k in its southeast corner, and the copy of \mathfrak{gl}_n :

$$\mathfrak{gl}_{n+k} = \mathfrak{gl}_n \oplus V^{\oplus k} \oplus (V^*)^{\oplus k} \oplus \mathfrak{gl}_k.$$

As a result, we may write

$$\mathfrak{gl}_{n+k} \cong \mathfrak{gl}_n \oplus (V \otimes B) \oplus (V^* \otimes C) \oplus \mathfrak{gl}_k,$$

where $B = C = \mathbb{F}^k$. This second expression reflects the decomposition of \mathfrak{gl}_{n+k} as a module for $\mathfrak{gl}_n \oplus \mathfrak{gl}_k$. When restricted to \mathfrak{sl}_n , the \mathfrak{gl}_n -modules V and V^* remain irreducible, while \mathfrak{gl}_n decomposes into a copy of the adjoint module and a trivial \mathfrak{sl}_n -module spanned by the identity matrix: $\mathfrak{gl}_n = \mathfrak{sl}_n \oplus \mathbb{F}I_n$. Thus, we have the \mathfrak{sl}_n decomposition of \mathfrak{gl}_{n+k} ,

$$(1.1) \quad \mathfrak{gl}_{n+k} \cong \mathfrak{sl}_n \oplus (V \otimes B) \oplus (V^* \otimes C) \oplus (\mathfrak{gl}_k \oplus \mathbb{F}I_n),$$

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where $\mathfrak{gl}_k \oplus \mathbb{F}l_n$ is the sum of the trivial \mathfrak{sl}_n -modules in \mathfrak{gl}_{n+k} . Decompositions such as (1.1) also arise in the study of direct limits of simple Lie algebras and give insight into their structure.

Indeed, suppose we have a chain of homomorphisms,

$$(1.2) \quad \mathfrak{g}^{(1)} \xrightarrow{\varphi_1} \mathfrak{g}^{(2)} \xrightarrow{\varphi_2} \dots \rightarrow \mathfrak{g}^{(i)} \xrightarrow{\varphi_i} \mathfrak{g}^{(i+1)} \rightarrow \dots,$$

where $\mathfrak{g}^{(i)} = \mathfrak{sl}(V^{(i)})$. Assume that $\mathfrak{sl}(V)$ is a fixed term in the chain for some $V = V^{(j)}$, and $\dim V = n$. We identify $\mathfrak{sl}(V)$ with \mathfrak{sl}_n by choosing a basis for V and assume that $V^{(i)} = V^{\oplus k_i} \oplus \mathbb{F}^{\oplus z_i}$ as a module for \mathfrak{sl}_n for $i \geq j$. Then the limit Lie algebra $\mathcal{L} = \varinjlim \mathfrak{g}^{(i)}$ admits a decomposition relative to \mathfrak{sl}_n ,

$$(1.3) \quad \mathcal{L} \cong (\mathfrak{sl}_n \otimes A) \oplus (V \otimes B) \oplus (V^* \otimes C) \oplus \mathfrak{s},$$

where \mathfrak{s} is the sum of the trivial \mathfrak{sl}_n -modules (see [3, Sec. 5]). Bahturin and Benkart in [3, Sec. 4] study Lie algebras having such a decomposition and describe the multiplication in \mathcal{L} and the possibilities for A, B, C, \mathfrak{s} when $\dim V \geq 4$. When $\dim V = 2$, then V^* is isomorphic to V as a module for $\mathfrak{sl}_2 = \mathfrak{sl}(V)$. In this case, a Lie algebra having a decomposition, $\mathcal{L} = (\mathfrak{sl}_2 \otimes A) \oplus (V \otimes B) \oplus \mathfrak{s}$ is graded by the root system BC_1 , and its structure has been described in [4].

In this paper, we investigate the missing case when $\dim V = 3$, which presents very distinctive features. For direct limit Lie algebras of the type considered above, we could, of course, choose a larger space $V^{(j)}$ having $\dim V^{(j)} \geq 4$ and apply the results of [3]. However, there are many examples of Lie algebras which admit very interesting decompositions as in (1.3) for $n = 3$. The exceptional simple Lie algebras provide examples of this phenomenon.

Example 1.1. Each exceptional simple Lie algebra \mathcal{L} over an algebraically closed field of characteristic 0 has an automorphism ψ of order 3 that corresponds to a certain node in the Dynkin diagram of the associated affine Lie algebra. The node is marked with a “3” in [10, TABLE Aff 1]. Removing that node gives the Dynkin diagram of a finite-dimensional semisimple Lie algebra $\mathfrak{sl}_3 \oplus \mathfrak{s}$, which is the subalgebra of fixed points of the automorphism ψ . The Lie algebra \mathfrak{s} is the centralizer of \mathfrak{sl}_3 in \mathcal{L} ; hence, is the sum of trivial \mathfrak{sl}_3 -modules under the adjoint action. In this table we display the Lie algebra \mathfrak{s} :

$$(1.4) \quad \begin{array}{c|ccccc} \mathcal{L} & G_2 & F_4 & E_6 & E_7 & E_8 \\ \hline \mathfrak{s} & 0 & \mathfrak{sl}_3 & \mathfrak{sl}_3 \oplus \mathfrak{sl}_3 & \mathfrak{sl}_6 & E_6 \end{array}$$

For the Lie algebra G_2 we have the well-known decomposition (see [9, Prop. 3])

$$G_2 \cong \mathfrak{sl}_3 \oplus V \oplus V^*$$

relative to \mathfrak{sl}_3 (where \mathfrak{sl}_3 corresponds to the long roots of G_2 and $V = \mathbb{F}^3$). This decomposition can be viewed as the decomposition into eigenspaces

relative to ψ , where V corresponds to the eigenvalue ω (a primitive cube root of 1); V^* to the eigenvalue ω^2 ; and \mathfrak{sl}_3 to the eigenvalue 1.

For the other exceptional Lie algebras,

$$(1.5) \quad \mathcal{L} \cong \mathfrak{sl}_3 \oplus (V \otimes B) \oplus (V^* \otimes C) \oplus \mathfrak{s},$$

where B and C can be identified with $H_3(\mathcal{C})$, the algebra of 3×3 hermitian matrices over a composition algebra \mathcal{C} under the product $h \circ h' = 1/2(hh' + h'h)$. Thus, elements of B have the form

$$h = \begin{bmatrix} \alpha & a & b \\ \bar{a} & \beta & c \\ \bar{b} & \bar{c} & \gamma \end{bmatrix}$$

where $\alpha, \beta, \gamma \in \mathbb{F}$, $a, b, c \in \mathcal{C}$, and “ $\bar{}$ ” is the standard involution in \mathcal{C} . The composition algebra \mathcal{C} is displayed below,

$$(1.6) \quad \begin{array}{c|c|c|c|c} \mathcal{L} & F_4 & E_6 & E_7 & E_8 \\ \hline \mathcal{C} & \mathbb{F} & K & Q & O \end{array}$$

where K is the algebra $\mathbb{F} \times \mathbb{F}$, Q the algebra of quaternions, and O the algebra of octonions. The algebra \mathfrak{s} can be identified with the structure Lie algebra of $B = H_3(\mathcal{C})$, $\mathfrak{s} = \text{Der}(B) \oplus L_{B_0}$, consisting of the derivations and multiplication maps $L_h(h') = h \circ h'$ for $h \in B_0$ (the matrices in B of trace 0). Here $V \otimes B$ is the ω -eigenspace of ψ , $V^* \otimes C$ the ω^2 -eigenspace, and $\mathfrak{sl}_3 \oplus \mathfrak{s}$ the 1-eigenspace.

For example, when $\mathcal{C} = O$, it is well known that $B = H_3(O)$ is the 27-dimensional exceptional simple Jordan algebra, and its structure algebra \mathfrak{s} is a simple Lie algebra of type E_6 (see for example, [11, Chap. IV, Sec. 4]). As a module for E_6 , B is irreducible, and relative to a certain Cartan subalgebra, it has highest weight the first fundamental weight. The module C is an irreducible E_6 -module, (the dual module of B) which has highest weight the last fundamental weight. Thus,

$$E_8 = \mathfrak{sl}_3 \oplus (V \otimes B) \oplus (V^* \otimes C) \oplus E_6.$$

Reading right to left, we see the decomposition of E_8 as a module for the subalgebra of type E_6 , and reading left to right, its decomposition as an \mathfrak{sl}_3 -module. \square

Recently, Lie algebras with a decomposition (1.5) have been considered by Faulkner [8, Lem. 22] in connection with his classification of structurable superalgebras of classical type. (Structurable algebras, which were introduced and studied in [1], form a certain variety of algebras generalizing associative algebras with involution and Jordan algebras.)

In this work, we examine Lie algebras \mathcal{L} such that \mathcal{L} has a subalgebra \mathfrak{sl}_3 and such that \mathcal{L} admits a decomposition as in (1.3) into copies of \mathfrak{sl}_3 , $V = \mathbb{F}^3$, V^* , and trivial modules relative to the action of \mathfrak{sl}_3 . Applying results in [3] and [5], we determine that A is an alternative algebra, B a left

\mathbf{A} -module, and \mathbf{C} a right \mathbf{A} -module, and we describe \mathfrak{s} and the multiplication in \mathcal{L} .

Using the fact that \mathbf{V} can be given the structure of a module for the symmetric group S_4 , we obtain an action of S_4 by automorphisms on \mathcal{L} . The elements $\tau_1 = (1\ 2)(3\ 4)$ and $\tau_2 = (1\ 4)(2\ 3)$ generate a normal subgroup of S_4 which is a Klein 4-subgroup. Results of Elduque and Okubo [7] enable us to deduce that $\mathcal{L}_0 = \{X \in \mathcal{L} \mid \tau_1 X = X, \tau_2 X = -X\}$ is a structurable algebra under a certain multiplication. We identify the structurable algebra \mathcal{L}_0 with the space of 2×2 matrices

$$\mathcal{A} = \begin{bmatrix} \mathbf{A} & \mathbf{C} \\ \mathbf{B} & \mathbf{A} \end{bmatrix}$$

under a suitable multiplication. When \mathcal{L} is the exceptional Lie algebra E_8 , then $\mathcal{A} = \begin{bmatrix} \mathbb{F} & \mathbf{C} \\ \mathbf{B} & \mathbb{F} \end{bmatrix}$ where $\mathbf{B} = \mathbf{C} = H_3(\mathbf{O})$. This is a simple structurable algebra (see [1, Secs. 8 and 9]).

2. LIE ALGEBRAS WITH PRESCRIBED \mathfrak{sl}_3 DECOMPOSITION

Let \mathcal{L} be a Lie algebra over a field \mathbb{F} of characteristic $\neq 2, 3$ (this assumption on the underlying field will be kept throughout), which contains a subalgebra isomorphic to $\mathfrak{sl}(\mathbf{V})$, for a vector space \mathbf{V} of dimension 3, so that \mathcal{L} decomposes, as a module for $\mathfrak{sl}(\mathbf{V})$ into a direct sum of copies of the adjoint module, the natural module \mathbf{V} , its dual \mathbf{V}^* , and the trivial one-dimensional module. Thus, we write as in (1.3):

$$(2.1) \quad \mathcal{L} = (\mathfrak{sl}(\mathbf{V}) \otimes \mathbf{A}) \oplus (\mathbf{V} \otimes \mathbf{B}) \oplus (\mathbf{V}^* \otimes \mathbf{C}) \oplus \mathfrak{s}$$

for suitable vector spaces $\mathbf{A}, \mathbf{B}, \mathbf{C}$, and for a Lie subalgebra \mathfrak{s} , which is the subalgebra of elements of \mathcal{L} annihilated by the elements in $\mathfrak{sl}(\mathbf{V})$. The vector space \mathbf{A} contains a distinguished element $1 \in \mathbf{A}$ such that $\mathfrak{sl}(\mathbf{V}) \otimes 1$ is the subalgebra isomorphic to $\mathfrak{sl}(\mathbf{V})$ we have started with.

Fix a nonzero linear map $\det : \bigwedge^3 \mathbf{V} \rightarrow \mathbb{F}$. This determines another such form $\det : \bigwedge^3 \mathbf{V}^* \rightarrow \mathbb{F}$ such that $\det(f_1 \wedge f_2 \wedge f_3) \det(v_1 \wedge v_2 \wedge v_3) = \mathbf{det}(f_i(v_j))$ for any $f_1, f_2, f_3 \in \mathbf{V}^*$ and $v_1, v_2, v_3 \in \mathbf{V}$. (The symbol “**det**” denotes the usual determinant.)

This allows us to identify $\bigwedge^2 \mathbf{V}$ with \mathbf{V}^* : $u_1 \wedge u_2 \leftrightarrow \det(u_1 \wedge u_2 \wedge _)$ and, in the same vein, $\bigwedge^2 \mathbf{V}^*$ with \mathbf{V} .

The invariance of the bracket in \mathcal{L} relative to the subalgebra $\mathfrak{sl}(\mathbf{V})$ gives equations as in [3, (19)]:

$$\begin{aligned}
(2.2) \quad & [x \otimes a, y \otimes a'] = [x, y] \otimes \frac{1}{2}a \circ a' + x \circ y \otimes \frac{1}{2}[a, a'] + (x|y)D_{a,a'}, \\
& [x \otimes a, u \otimes b] = xu \otimes ab, \\
& [v^* \otimes c, x \otimes a] = v^*x \otimes ca, \\
& [u \otimes b, v^* \otimes c] = (uv^* - \frac{1}{3}(v^*u)l_3) \otimes T(b, c) + \frac{1}{3}(v^*u)D_{b,c}, \\
& [u_1 \otimes b_1, u_2 \otimes b_2] = (u_1 \wedge u_2) \otimes (b_1 \times b_2), \\
& [v_1^* \otimes c_1, v_2^* \otimes c_2] = (v_1^* \wedge v_2^*) \otimes (c_1 \times c_2), \\
& [d, x \otimes a] = x \otimes da, \\
& [d, u \otimes b] = u \otimes db, \\
& [d, v^* \otimes c] = v^* \otimes dc,
\end{aligned}$$

for any $x, y \in \mathfrak{sl}(\mathbf{V})$, $u, u_1, u_2 \in \mathbf{V}$, $v^*, v_1^*, v_2^* \in \mathbf{V}^*$, $d \in \mathfrak{s}$, $a, a' \in \mathbf{A}$, $b, b_1, b_2 \in \mathbf{B}$ and $c, c_1, c_2 \in \mathbf{C}$, and for bilinear maps:

$$\begin{aligned}
(2.3) \quad & \mathbf{A} \times \mathbf{A} \rightarrow \mathbf{A} : (a, a') \mapsto a \circ a' \text{ commutative,} \\
& \mathbf{A} \times \mathbf{A} \rightarrow \mathbf{A} : (a, a') \mapsto [a, a'] \text{ anticommutative,} \\
& \mathbf{A} \times \mathbf{A} \rightarrow \mathfrak{s} : (a, a') \mapsto D_{a,a'} \text{ skew-symmetric,} \\
& \mathbf{A} \times \mathbf{B} \rightarrow \mathbf{B} : (a, b) \mapsto ab, \\
& \mathbf{C} \times \mathbf{A} \rightarrow \mathbf{C} : (c, a) \mapsto ca, \\
& \mathbf{B} \times \mathbf{C} \rightarrow \mathbf{A} : (b, c) \mapsto T(b, c), \\
& \mathbf{B} \times \mathbf{C} \rightarrow \mathfrak{s} : (b, c) \mapsto D_{b,c}, \\
& \mathbf{B} \times \mathbf{B} \rightarrow \mathbf{C} : (b_1, b_2) \mapsto b_1 \times b_2 \text{ symmetric,} \\
& \mathbf{C} \times \mathbf{C} \rightarrow \mathbf{B} : (c_1, c_2) \mapsto c_1 \times c_2 \text{ symmetric,}
\end{aligned}$$

and representations $\mathfrak{s} \rightarrow \mathfrak{gl}(\mathbf{A}), \mathfrak{gl}(\mathbf{B}), \mathfrak{gl}(\mathbf{C})$, whose action is denoted by da , db , and dc for $d \in \mathfrak{s}$ and $a \in \mathbf{A}$, $b \in \mathbf{B}$ and $c \in \mathbf{C}$; where, as in [3, (17)],

$$\begin{aligned}
(2.4) \quad & x \circ y = xy + yx - \frac{2}{3}\text{tr}(xy)l_3, \\
& (x|y) = \frac{1}{3}\text{tr}(xy),
\end{aligned}$$

for $x, y \in \mathfrak{sl}(\mathbf{V})$, and l_3 denotes the identity map. The difference with [3, (19)] lies in the appearance of the symmetric maps $b_1 \times b_2$ and $c_1 \times c_2$ when \mathbf{V} has dimension 3. This slight difference has a huge impact.

The distinguished element $1 \in \mathbf{A}$ satisfies $1 \circ a = a$, $[1, a] = 0$, $D_{1,a} = 0$, $1b = b$, $c1 = c$, and $d1 = 0$ for any $a \in \mathbf{A}$, $b \in \mathbf{B}$, $c \in \mathbf{C}$ and $d \in \mathfrak{s}$.

Theorem 2.1. *Let \mathcal{L} be a vector space as in (2.1) and define an anticommutative bracket in \mathcal{L} by (2.2) for bilinear maps as in (2.3). Then \mathcal{L} is a Lie algebra if and only if the following conditions are satisfied:*

- (0) \mathfrak{s} is a Lie subalgebra of \mathcal{L} , \mathbf{A} , \mathbf{B} , \mathbf{C} are modules for \mathfrak{s} relative to the given actions, and the bilinear maps in (2.3) are \mathfrak{s} -invariant.
 (1) \mathbf{A} is an alternative algebra relative to the multiplication

$$aa' = \frac{1}{2}a \circ a' + \frac{1}{2}[a, a'],$$

and the map $A \times A \rightarrow A : (a, a') \mapsto D_{a, a'}$ satisfies the conditions

$$\sum_{\text{cyclic}} D_{a_1, a_2 a_3} = 0,$$

$$D_{a_1, a_2} a_3 = [[a_1, a_2], a_3] + 3((a_1 a_3) a_2 - a_1 (a_3 a_2)),$$

for any $a_1, a_2, a_3 \in \mathbf{A}$.

- (2) For any $a_1, a_2 \in \mathbf{A}$, $b \in \mathbf{B}$ and $c \in \mathbf{C}$,

$$a_1(a_2 b) = (a_1 a_2) b,$$

$$(c a_1) a_2 = c(a_1 a_2),$$

so that \mathbf{B} (respectively \mathbf{C}) is a left associative module (resp. right associative module) for \mathbf{A} , and

$$D_{a_1, a_2} b = [a_1, a_2] b,$$

$$D_{a_1, a_2} c = c[a_2, a_1].$$

- (3) For any $a \in \mathbf{A}$, $b \in \mathbf{B}$ and $c \in \mathbf{C}$,

$$aT(b, c) = T(ab, c), \quad T(b, c)a = T(b, ca),$$

$$D_{a, T(b, c)} = D_{ab, c} - D_{b, ca},$$

$$D_{b, ca} = [T(b, c), a].$$

- (4) For any $a \in \mathbf{A}$, $b_1, b_2 \in \mathbf{B}$ and $c_1, c_2 \in \mathbf{C}$,

$$(b_1 \times b_2) a = (ab_1) \times b_2 = b_1 \times (ab_2),$$

$$a(c_1 \times c_2) = (c_1 a) \times c_2 = c_1 \times (c_2 a).$$

- (5) $D_{b, b \times b} = 0$ for any $b \in \mathbf{B}$ and $D_{c \times c, c} = 0$ for any $c \in \mathbf{C}$. In addition, the trilinear maps $\mathbf{B} \times \mathbf{B} \times \mathbf{B} \rightarrow \mathbf{B} : (b_1, b_2, b_3) \mapsto T(b_1, b_2 \times b_3)$ and $\mathbf{C} \times \mathbf{C} \times \mathbf{C} \rightarrow \mathbf{C} : (c_1, c_2, c_3) \mapsto T(c_1 \times c_2, c_3)$ are symmetric.

- (6) For any $b, b_1, b_2 \in \mathbf{B}$ and $c, c_1, c_2 \in \mathbf{C}$,

$$(b_1 \times b_2) \times c = -\frac{1}{3}T(b_1, c)b_2 + \frac{1}{3}D_{b_1, c}b_2 - T(b_2, c)b_1,$$

$$b \times (c_1 \times c_2) = -c_2T(b, c_1) - \frac{1}{3}c_1T(b, c_2) - \frac{1}{3}D_{b, c_2}c_1.$$

Proof. If \mathcal{L} is a Lie algebra under the bracket defined in (2.2), then it is clear that \mathfrak{s} is a Lie subalgebra and all the conditions in item (0) are satisfied. Moreover, $(\mathfrak{sl}(\mathbf{V}) \otimes \mathbf{A}) \oplus \mathfrak{s}$ is a Lie subalgebra, and the arguments in [5, Sec. 3] show that \mathbf{A} is an alternative algebra, and the conditions in item (1) are satisfied.

The arguments in Propositions 4.3 and 4.4, and Equations (25) and (27) in [3], work here and give the conditions in item (2). (Note that there is a minus sign missing in [3, (27)].)

Now, equations (30)–(33) in [3] establish the identities in (3). The Jacobi identity applied to elements $x \otimes a$, $u_1 \otimes b_1$ and $u_2 \otimes b_2$, for $x \in \mathfrak{sl}(\mathbf{V})$, $u_1, u_2 \in \mathbf{V}$, $a \in \mathbf{A}$ and $b_1, b_2 \in \mathbf{B}$, give the first equation in item (4), the second one being similar.

The Jacobi identity for elements $u_i \otimes b_i$, $i = 1, 2, 3$, for $u_i \in \mathbf{V}$ and $b_i \in \mathbf{B}$ gives:

$$\sum_{\text{cyclic}} D_{b_1, b_2 \times b_3} = 0, \quad T(b_1, b_2 \times b_3) = T(b_2, b_3 \times b_1),$$

which, in view of the symmetry of the bilinear map $b_1 \times b_2$, proves half of the assertions in item (5); the other half being implied by the Jacobi identity for elements $v_i^* \otimes c_i$, $i = 1, 2, 3$, for $v_i^* \in \mathbf{V}^*$ and $c_i \in \mathbf{C}$.

Finally, for elements $u_1, u_2 \in \mathbf{V}$, $v^* \in \mathbf{V}^*$, $b_1, b_2 \in \mathbf{B}$, $c \in \mathbf{C}$:

$$\begin{aligned} [[u_1 \otimes b_1, u_2 \otimes b_2], v^* \otimes c] &= [(u_1 \wedge u_2) \otimes (b_1 \times b_2), v^* \otimes c] \\ &= (u_1 \wedge u_2) \wedge v^* \otimes (b_1 \times b_2) \times c = ((v^* u_1)u_2 - (v^* u_2)u_1) \otimes (b_1 \times b_2) \times c, \end{aligned}$$

while

$$\begin{aligned} & [[u_1 \otimes b_1, v^* \otimes c], u_2 \otimes b_2] \\ &= [(u_1 v^* - \frac{1}{3}(v^* u_1)l_3) \otimes T(b_1, c) + \frac{1}{3}v^* u_1 D_{b_1, c}, u_2 \otimes b_2] \\ &= ((v^* u_2)u_1 - \frac{1}{3}(v^* u_1)u_2) \otimes T(b_1, c)b_2 + \frac{1}{3}(v^* u_1)u_2 \otimes D_{b_1, c}b_2, \\ & [u_1 \otimes b_1, [u_2 \otimes b_2, v^* \otimes c]] \\ &= [u_1 \otimes b_1, (u_2 v^* - \frac{1}{3}(v^* u_2)l_3) \otimes T(b_2, c) + \frac{1}{3}v^* u_2 D_{b_2, c}] \\ &= -((v^* u_1)u_2 - \frac{1}{3}(v^* u_2)u_1) \otimes T(b_2, c)b_1 - \frac{1}{3}(v^* u_2)u_1 \otimes D_{b_2, c}b_1. \end{aligned}$$

Hence the Jacobi identity here is equivalent to the first condition in item (6); the second condition can be proven in a similar way.

The converse follows from straightforward computations. \square

Given an alternative algebra \mathbf{A} , the ideal $\mathbf{E}(\mathbf{A})$ generated by the associators $(a_1, a_2, a_3) = (a_1 a_2) a_3 - a_1 (a_2 a_3)$ is $\mathbf{E}(\mathbf{A}) = (\mathbf{A}, \mathbf{A}, \mathbf{A}) + (\mathbf{A}, \mathbf{A}, \mathbf{A})\mathbf{A} = (\mathbf{A}, \mathbf{A}, \mathbf{A}) + \mathbf{A}(\mathbf{A}, \mathbf{A}, \mathbf{A})$. The associative nucleus of \mathbf{A} is $\mathbf{N}(\mathbf{A}) := \{a \in \mathbf{A} \mid (a, \mathbf{A}, \mathbf{A}) = 0\}$, while the center is $\mathbf{Z}(\mathbf{A}) = \{a \in \mathbf{N}(\mathbf{A}) \mid aa' = a'a, \forall a' \in \mathbf{A}\}$.

Corollary 2.2. *Let \mathcal{L} be a Lie algebra which contains a subalgebra isomorphic to $\mathfrak{sl}(\mathbf{V})$ for a vector space \mathbf{V} of dimension 3, so that \mathcal{L} decomposes, as a module for $\mathfrak{sl}(\mathbf{V})$, as in (2.1). Then, with the notation used so far, the alternative algebra \mathbf{A} is unital (the distinguished element 1 being its unit element), with 1 acting as the identity on both \mathbf{B} and \mathbf{C} , and the following conditions hold:*

- $E(A)B = 0 = CE(A)$, so that B (respectively C) is a left (resp. right) module for the associative algebra $A/E(A)$.
- $T(B, C)$ is an ideal of A contained in its associative nucleus $N(A)$, and $T(B, B \times B)$ and $T(C \times C, C)$ are ideals of A contained in $Z(A)$.
- For any $b, b_1, b_2 \in B$ and any $c, c_1, c_2 \in C$, the following conditions hold:

$$\begin{aligned} D_{b_1, c}b_2 - D_{b_2, c}b_1 &= 2(T(b_2, c)b_1 - T(b_1, c)b_2), \\ D_{b, c_2}c_1 - D_{b, c_1}c_2 &= 2(c_1T(b, c_2) - c_2T(b, c_1)). \end{aligned}$$

- If the Lie algebra \mathcal{L} is simple, then either the algebra A is associative, or else $A = E(A)$ and $B = C = 0$. Moreover, if $B \neq 0$, then C coincides with $B \times B$, and A coincides with $T(B, B \times B)$, and A is a commutative and associative algebra.

Proof. For any $a_1, a_2, a_3 \in A$ and $b \in B$,

$$\begin{aligned} (a_1, a_2, a_3)b &= (a_1a_2)(a_3b) - a_1((a_2a_3)b) \\ &= a_1(a_2(a_3b)) - a_1(a_2(a_3b)) = 0, \end{aligned}$$

because of Theorem 2.1, item (2). Also, this result shows that $\text{ann}_A(B) = \{a \in A \mid aB = 0\}$ is an ideal of A . Hence, $E(A)B = 0$, as $E(A)$ is the ideal generated by (A, A, A) . In a similar manner, one proves $CE(A) = 0$.

For any $b \in B$ and $c \in C$, $T(b, c)$ is an element of A , and $\text{ad}_{T(b, c)} : a \mapsto [T(b, c), a] = D_{b, c}a$ is a derivation of A by the previous theorem. Since A is alternative, this shows that $T(b, c)$ is in the associative nucleus $N(A)$. Now for $b_1, b_2, b_3 \in B$, $aT(b_1, b_2 \times b_3) = T(ab_1, b_2 \times b_3) = T(b_2, b_3 \times (ab_1)) = T(b_2, (b_3 \times b_1)a) = T(b_2, b_3 \times b_1)a = T(b_1, b_2 \times b_3)a$, which proves that $T(B, B \times B)$ is an ideal of A contained in the center $Z(A)$. By similar arguments, $T(C \times C, C)$ is shown to be contained in $Z(A)$ too.

For any $b_1, b_2 \in B$ and $c \in C$, the previous theorem gives,

$$(b_1 \times b_2) \times c = -\frac{1}{3}T(b_1, c)b_2 + \frac{1}{3}D_{b_1, c}b_2 - T(b_2, c)b_1.$$

We permute b_1 and b_2 and use the fact that \times is symmetric to get

$$D_{b_1, c}b_2 - D_{b_2, c}b_1 = 2(T(b_2, c)b_1 - T(b_1, c)b_2).$$

With the same arguments we prove

$$D_{b, c_2}c_1 - D_{b, c_1}c_2 = 2(c_1T(b, c_2) - c_2T(b, c_1))$$

for any $b \in B$, and $c_1, c_2 \in C$.

Finally, since the ideal $E(A)$ of the alternative algebra A is invariant under derivations, the subspace $(\mathfrak{sl}(V) \otimes E(A)) \oplus D_{E(A), A}$ is an ideal of the Lie algebra \mathcal{L} . In particular, if \mathcal{L} is simple, then either $A = E(A)$ and $B = C = 0$, or $E(A) = 0$ and A is associative. Moreover, if B is nonzero, the ideal of \mathcal{L} generated by $V \otimes B$ is $(\mathfrak{sl}(V) \otimes T(B, C)) \oplus (V \otimes B) \oplus (V^* \otimes (B \times B)) \oplus D_{B, C}$. Hence if \mathcal{L} is simple, we obtain $C = B \times B$ and $A = T(B, C) = T(B, B \times B)$, which is commutative and associative. \square

3. STRUCTURABLE ALGEBRAS

This section is devoted to establishing a relationship between the Lie algebras with prescribed \mathfrak{sl}_3 decomposition considered above with a class of structurable algebras. This will be done by exploiting the action of a subgroup of the group of automorphisms of the Lie algebra isomorphic to the symmetric group S_4 .

Theorem 3.1. *Let \mathcal{L} be a Lie algebra which contains a subalgebra isomorphic to $\mathfrak{sl}(V)$ for a vector space V of dimension 3, so that \mathcal{L} decomposes, as a module for $\mathfrak{sl}(V)$, as in (2.1). Then, with the notation used so far, the vector space*

$$(3.1) \quad \mathcal{A} = \begin{pmatrix} A & C \\ B & A \end{pmatrix},$$

with the multiplication

$$(3.2) \quad \begin{pmatrix} a_1 & c \\ b & a_2 \end{pmatrix} \cdot \begin{pmatrix} a'_1 & c' \\ b' & a'_2 \end{pmatrix} = \begin{pmatrix} a_1 a'_1 - T(b', c) & c' a_1 + c a'_2 + b \times b' \\ a'_1 b + a_2 b' + c \times c' & a'_2 a_2 - T(b, c') \end{pmatrix}$$

and the involution

$$(3.3) \quad \overline{\begin{pmatrix} a_1 & c \\ b & a_2 \end{pmatrix}} = \begin{pmatrix} a_2 & c \\ b & a_1 \end{pmatrix}$$

is a structurable algebra.

Proof. Take a basis $\{e_1, e_2, e_3\}$ of V with $\det(e_1 \wedge e_2 \wedge e_3) = 1$ and its dual basis $\{e_1^*, e_2^*, e_3^*\}$ in V^* .

The symmetric group S_4 acts on V as follows [7, (7.1)]:

$$\begin{aligned} \tau_1 = (12)(34) : & \quad e_1 \mapsto e_1, \quad e_2 \mapsto -e_2, \quad e_3 \mapsto -e_3, \\ \tau_2 = (23)(14) : & \quad e_1 \mapsto -e_1, \quad e_2 \mapsto e_2, \quad e_3 \mapsto -e_3, \\ \varphi = (123) : & \quad e_1 \mapsto e_2 \mapsto e_3 \mapsto e_1, \\ \tau = (12) : & \quad e_1 \mapsto -e_1, \quad e_2 \mapsto -e_3, \quad e_3 \mapsto -e_2. \end{aligned}$$

(Thus V is the tensor product of the sign module and the standard irreducible 3-dimensional module for S_4 , and in this way, S_4 embeds in the special linear group $SL(V)$.)

The inner product given by $(e_i | e_j) = \delta_{ij}$ for any $i, j \in \{1, 2, 3\}$ is invariant under the action of S_4 , so V is selfdual as an S_4 -module, and the action of S_4 on V^* (where $\sigma v^* = v^* \sigma^{-1}$) is given by the “same formulas”:

$$\begin{aligned} \tau_1 = (12)(34) : & \quad e_1^* \mapsto e_1^*, \quad e_2^* \mapsto -e_2^*, \quad e_3^* \mapsto -e_3^*, \\ \tau_2 = (23)(14) : & \quad e_1^* \mapsto -e_1^*, \quad e_2^* \mapsto e_2^*, \quad e_3^* \mapsto -e_3^*, \\ \varphi = (123) : & \quad e_1^* \mapsto e_2^* \mapsto e_3^* \mapsto e_1^*, \\ \tau = (12) : & \quad e_1^* \mapsto -e_1^*, \quad e_2^* \mapsto -e_3^*, \quad e_3^* \mapsto -e_2^*. \end{aligned}$$

Since S_4 acts by elements in $SL(V)$, this action of S_4 on V and on V^* extends to an action by automorphisms on the whole algebra \mathcal{L} . Then the

subspace

$$\mathcal{L}_0 = \{X \in \mathcal{L} \mid \tau_1 X = X, \tau_2 X = -X\}$$

becomes a structurable algebra [7, Thm. 7.5] with involution and multiplication given by the following formulas,

$$\begin{aligned}\bar{X} &= -\tau X, \\ X \cdot Y &= -\tau([\varphi X, \varphi^2 Y]),\end{aligned}$$

for any $X, Y \in \mathcal{L}_0$.

But we easily deduce that

$$\mathcal{L}_0 = (e_2 e_3^* \otimes \mathbf{A}) \oplus (e_3 e_2^* \otimes \mathbf{A}) \oplus (e_1 \otimes \mathbf{B}) \oplus (e_1^* \otimes \mathbf{C}).$$

Identifying \mathcal{L}_0 with the 2×2 matrices $\mathcal{A} = \begin{pmatrix} \mathbf{A} & \mathbf{C} \\ \mathbf{B} & \mathbf{A} \end{pmatrix}$ by means of

$$-e_2 e_3^* \otimes a_1 + e_3 e_2^* \otimes a_2 + e_1 \otimes b + e_1^* \otimes c \leftrightarrow \begin{pmatrix} a_1 & c \\ b & a_2 \end{pmatrix},$$

we determine that the structurable product and the involution become

$$\begin{aligned}\begin{pmatrix} a_1 & c \\ b & a_2 \end{pmatrix} \cdot \begin{pmatrix} a'_1 & c' \\ b' & a'_2 \end{pmatrix} &= \begin{pmatrix} a_1 a'_1 - T(b', c) & c' a_1 + c a'_2 + b \times b' \\ a'_1 b + a_2 b' + c \times c' & a'_2 a_2 - T(b, c') \end{pmatrix} \\ \overline{\begin{pmatrix} a_1 & c \\ b & a_2 \end{pmatrix}} &= \begin{pmatrix} a_2 & c \\ b & a_1 \end{pmatrix},\end{aligned}$$

as required. \square

Items (5) and (6) of Theorem 2.1 show that for any $b \in \mathbf{B}$ and $c \in \mathbf{C}$,

$$\begin{aligned}(3.4) \quad (b \times b) \times (b \times b) &= -\frac{4}{3}T(b, b \times b)b, \\ (c \times c) \times (c \times c) &= -\frac{4}{3}cT(c \times c, c).\end{aligned}$$

Also, using Theorem 2.1 and Corollary 2.2 we compute that

$$\begin{aligned}(c \times (b \times b)) \times b &= -\frac{4}{3}(T(b, c)b) \times b + \frac{1}{3}(D_{b,c}b) \times b \\ &= -\frac{4}{3}(b \times b)T(b, c) + \frac{1}{6}D_{b,c}(b \times b) \quad (\text{as the product } b_1 \times b_2 \text{ from } \mathbf{B} \times \mathbf{B} \text{ into } \mathbf{C} \text{ is } \mathfrak{s}\text{-invariant}) \\ &= -\frac{4}{3}(b \times b)T(b, c) + \frac{1}{3}((b \times b)T(b, c) - cT(b, b \times b)) \\ &= -(b \times b)T(b, c) - \frac{1}{3}cT(b, b \times b),\end{aligned}$$

and an analogous result with the roles of b and c interchanged. So we conclude that the equations

$$(3.5) \quad \begin{aligned} (c \times (b \times b)) \times b &= -(b \times b)T(b, c) - \frac{1}{3}cT(b, b \times b), \\ (b \times (c \times c)) \times c &= -T(b, c)(c \times c) - \frac{1}{3}T(c \times c, c)b, \end{aligned}$$

hold for any $b \in \mathbf{B}$ and $c \in \mathbf{C}$.

Equations (3.4) and (3.5) are precisely the ones that appear in [2, Ex. 6.4], and are needed to ensure that the algebra defined there, which coincides with our \mathcal{A} , but with the added restrictions of \mathbf{A} being commutative and associative, is structurable. (Note that the bilinear form $T(.,.)$ considered in [2, Ex. 6.4] equals our $-T(.,.)$.)

However some of the previous arguments show that, if our structurable algebra \mathcal{A} is simple and $\mathbf{A} \neq 0$, then \mathbf{A} is simple, and since $T(\mathbf{B}, \mathbf{B} \times \mathbf{B})$ and $T(\mathbf{C} \times \mathbf{C}, \mathbf{C})$ are ideals of \mathbf{A} contained in the center $\mathbf{Z}(\mathbf{A})$, either \mathbf{A} is commutative and associative, or else $T(\mathbf{B}, \mathbf{B} \times \mathbf{B}) = 0 = T(\mathbf{C} \times \mathbf{C}, \mathbf{C})$. But in this case, the subspace $\begin{pmatrix} 0 & \mathbf{B} \times \mathbf{B} \\ \mathbf{C} \times \mathbf{C} & 0 \end{pmatrix}$ becomes an ideal, so if \mathcal{A} is simple either \mathbf{A} is commutative and associative, or else $\mathbf{B} \times \mathbf{B} = 0 = \mathbf{C} \times \mathbf{C}$.

Therefore, when considering simple algebras, we are dealing exactly with the situation considered by Allison and Faulkner in [2].

Theorem 3.1 shows that the restrictions on the bilinear maps involved are sufficient to ensure that the algebra \mathcal{A} in (3.1), with multiplication (3.2) and involution (3.3) is a structurable algebra.

A natural question to ask is whether these conditions are also necessary. More precisely, does any structurable algebra of the form \mathcal{A} as in (3.1) with multiplication (3.2) and involution (3.3), constructed from a unital alternative algebra \mathbf{A} , left and right unital “associative” modules \mathbf{B} and \mathbf{C} , and bilinear maps $T(b, c)$, $b_1 \times b_2$, and $c_1 \times c_2$, coordinatize a Lie algebra \mathcal{L} with a subalgebra isomorphic to $\mathfrak{sl}(\mathbf{V})$ for a vector space \mathbf{V} of dimension 3 and with decomposition as in (2.1)? (We do not impose any further conditions on these bilinear maps besides requiring that the resulting algebra \mathcal{A} be structurable.)

Our last result answers this question in the affirmative.

Theorem 3.2. *Let \mathbf{A} be a unital alternative algebra; let \mathbf{B} (respectively \mathbf{C}) be a left (respectively right) unital associative module for \mathbf{A} ; and let $\mathbf{B} \times \mathbf{C} \rightarrow \mathbf{A} : (b, c) \mapsto T(b, c)$, $\mathbf{B} \times \mathbf{B} \rightarrow \mathbf{C} : (b_1, b_2) \mapsto b_1 \times b_2$, and $\mathbf{C} \times \mathbf{C} \rightarrow \mathbf{B} : (c_1, c_2) \mapsto c_1 \times c_2$ be bilinear maps which make the vector space \mathcal{A} in (3.1) with the multiplication (3.2) and involution in (3.3) into a structurable algebra. Then there is a Lie algebra \mathcal{L} containing a subalgebra isomorphic to $\mathfrak{sl}(\mathbf{V})$, for a vector space \mathbf{V} of dimension 3, such that \mathcal{L} decomposes as in (2.1) for a suitable vector space \mathfrak{s} , such that the Lie bracket on \mathcal{L} is given by (2.2) for some bilinear maps $\mathbf{A} \times \mathbf{A} \rightarrow \mathfrak{s}, (a, a') \mapsto D_{a, a'}$, $\mathbf{B} \times \mathbf{C} \rightarrow \mathfrak{s} : (b, c) \mapsto D_{b, c}$, $\mathfrak{s} \times \mathbf{A} \rightarrow \mathbf{A} : (d, a) \mapsto da$, $\mathfrak{s} \times \mathbf{B} \rightarrow \mathbf{B} : (d, b) \mapsto db$ and $\mathfrak{s} \times \mathbf{C} \rightarrow \mathbf{C} : (d, c) \mapsto dc$.*

Proof. Consider the Lie algebra $\mathcal{L} = \mathcal{K}(\mathcal{A}, -, \gamma, \mathcal{V})$ in [2, Sec. 4] attached to the structurable algebra $(\mathcal{A}, -)$, the triple $\gamma = (1, 1, 1)$, and the Lie subalgebra $\mathcal{V} = \mathcal{T}_I$. This Lie algebra \mathcal{L} , which coincides with the Lie algebra $\mathfrak{g}(\mathcal{A}, \cdot, -)$ in [6, Ex. 3.1], is the direct sum

$$\mathcal{L} = \mathcal{T}_I \oplus \mathcal{A}[12] \oplus \mathcal{A}[23] \oplus \mathcal{A}[31],$$

where \mathcal{T}_I is the span of the triples $T = (T_1, T_2, T_3)$ with

$$\begin{aligned} T_i &= L_{\bar{x}}L_y - L_{\bar{y}}L_x, \\ T_j &= R_{\bar{x}}R_y - R_{\bar{y}}R_x, \\ T_k &= R_{\bar{x}y-\bar{y}x} + L_yL_{\bar{x}} - L_xL_{\bar{y}}, \end{aligned} \tag{3.6}$$

for $x, y \in \mathcal{A}$ and (i, j, k) a cyclic permutation of $(1, 2, 3)$. Here $L_x y = xy = R_y x$. The subspace \mathcal{T}_I is a Lie algebra with componentwise bracket, and the Lie bracket in \mathcal{L} is given by extending the bracket in \mathcal{T}_I by setting $x[ij] = -\bar{x}[ji]$ for any $x \in \mathcal{A}$ and

$$\begin{aligned} [x[ij], y[jk]] &= -[x[jk], y[ij]] = (xy)[ik], \\ [T, x[ij]] &= -[x[ij], T] = T_k(x)[ij], \\ [x[ij], y[ij]] &= T, \end{aligned} \tag{3.7}$$

for $x, y \in \mathcal{A}$, where (i, j, k) is a cyclic permutation of $(1, 2, 3)$, and $T = (T_1, T_2, T_3)$ is as in (3.6). Theorems 4.1 and 5.5 in [2] show that \mathcal{L} is indeed a Lie algebra. Since we are assuming that the characteristic of the field is $\neq 2, 3$, Corollary 3.5 of [2] shows that $\mathcal{T}_I = \{(D, D, D) \mid D \in \text{Der}(\mathcal{A}, \cdot, -)\} \oplus \{(L_{s_2} - R_{s_3}, L_{s_3} - R_{s_1}, L_{s_1} - R_{s_2}) \mid s_i \in \mathcal{A}, \bar{s}_i = -s_i, s_1 + s_2 + s_3 = 0\}$. Here $\text{Der}(\mathcal{A}, \cdot, -)$ is the space of derivations relative to the product “ \cdot ” which commute with the involution “ $-$ ”.

For any $a \in \mathbf{A}$, consider the linear span $\mathfrak{sl}_3[a]$ of the elements $\begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix} [ij]$ for $i \neq j$ and the triples $(L_{\alpha_2 s} - R_{\alpha_3 s}, L_{\alpha_3 s} - R_{\alpha_1 s}, L_{\alpha_1 s} - R_{\alpha_2 s})$ for $\alpha_i \in \mathbb{F}$ with $\alpha_1 + \alpha_2 + \alpha_3 = 0$ and $s = \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix}$. (Note that $\begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix} [ij] = -\begin{pmatrix} 0 & 0 \\ 0 & a \end{pmatrix} [ji]$.)

Also for any $b \in \mathbf{B}$, consider the linear span $\mathbf{V}[b]$ of the elements $\begin{pmatrix} 0 & 0 \\ b & 0 \end{pmatrix} [ij]$, and for any $c \in \mathbf{C}$ the linear span $\mathbf{V}^*[c]$ of the elements $\begin{pmatrix} 0 & c \\ 0 & 0 \end{pmatrix} [ij]$.

Straightforward computations using (3.7) imply that

- $\mathfrak{sl}_3[1]$ is a Lie subalgebra of \mathcal{L} isomorphic to $\mathfrak{sl}(\mathbf{V})$ ($\dim \mathbf{V} = 3$),
- $\mathfrak{sl}_3[a]$ is an adjoint module for $\mathfrak{sl}_3[1]$ for any $a \in \mathbf{A}$,
- $\mathbf{V}[b]$ is the natural module for $\mathfrak{sl}_3[1]$ for any $b \in \mathbf{B}$,
- $\mathbf{V}^*[c]$ is the dual module for $\mathfrak{sl}_3[1]$ for any $c \in \mathbf{C}$, and
- $\mathfrak{s} = \{(D, D, D) \mid D \in \text{Der}(\mathcal{A}, \cdot, -)\}$ is a Lie subalgebra which commutes with $\mathfrak{sl}_3[1]$.

Actually, if we fix a basis $\{e_1, e_2, e_3\}$ of \mathbf{V} as before with $\det(e_1 \wedge e_2 \wedge e_3) = 1$ and the dual basis $\{e_1^*, e_2^*, e_3^*\}$ in \mathbf{V}^* , we may identify $\mathfrak{sl}_3[a]$ with $\mathfrak{sl}(\mathbf{V}) \otimes a$

for $a \in \mathbf{A}$ by means of

$$e_i e_j^* \otimes a \leftrightarrow \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix} [ij], \quad \text{for } i \neq j$$

$$\sum_{i=1}^3 \alpha_i e_i e_i^* \otimes a \leftrightarrow (L_{\alpha_2 s} - R_{\alpha_3 s}, L_{\alpha_3 s} - R_{\alpha_1 s}, L_{\alpha_1 s} - R_{\alpha_2 s}),$$

for $\alpha_i \in \mathbb{F}$ with $\alpha_1 + \alpha_2 + \alpha_3 = 0$ and $s = \begin{pmatrix} a & 0 \\ 0 & -a \end{pmatrix}$. Also for any $b \in \mathbf{B}$ and $c \in \mathbf{C}$, we may identify $\mathbf{V}[b]$ with $\mathbf{V} \otimes b$ and $\mathbf{V}^*[c]$ with $\mathbf{V}^* \otimes c$ via

$$e_i \otimes b \leftrightarrow \begin{pmatrix} 0 & 0 \\ b & 0 \end{pmatrix} [jk], \quad e_i^* \otimes c \leftrightarrow \begin{pmatrix} 0 & c \\ 0 & 0 \end{pmatrix} [jk]$$

where (i, j, k) is a cyclic permutation of $(1, 2, 3)$.

In this way we recover the decomposition in (1.3) with bracket as in (2.2) for suitable maps $D_{\cdot, \cdot}$, as required. \square

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